

# Practical Approaches to Improving Diversity in Clinical Trials

Wednesdays

11AM - 12noon ET



**MULTI-REGIONAL  
CLINICAL TRIALS**

THE MRCT CENTER of  
BRIGHAM AND WOMEN'S HOSPITAL  
and HARVARD

## LEARNING IN: A WEBINAR SERIES

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## LEANING IN: A WEBINAR SERIES

<b>Recording available</b>	Community Awareness, Access, Knowledge
<b>Recording available</b>	Workforce Development
<b>Recording available</b>	Study Design, Eligibility, Site Selection & Feasibility
<b>Recording available</b>	Study Conduct (Recruitment, Retention)
<b>Recording available</b>	Data Standards and Analysis
<b>Recording available</b>	Stakeholder Roles and Responsibilities
<b>February 10, 2021</b>	Role of Data in Diversity: Genetics & Real World Data





# Today's topic

## Role of Data in Diversity: Genetics and Real World Data

February 10, 2021  
11AM -12noon ET



**Barbara Bierer, MD**  
**Moderator**  
Faculty Director,  
MRCT Center



**Luther Clark, MD, FACC, FACP**  
**Moderator**  
Deputy Chief Patient Officer,  
Merck



**Nicole Richie, PhD**  
**Guest Speaker**  
Global Head,  
Health Equity and Population Science,  
Genentech Roche



**Latha Palaniappan, MD, MS**  
**Guest Speaker**  
Professor Of Medicine,  
Stanford University School of Medicine



### LEANING IN: A WEBINAR SERIES

Practical Approaches to Improving Diversity in Clinical Trials



# The Multi-Regional Clinical Trials Center (MRCT Center)

## Our Vision

Improve the integrity, safety, and rigor of global clinical trials.

## Our Mission

Engage diverse stakeholders to define emerging issues in global clinical trials and to create and implement ethical, actionable, and practical solutions.







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# ACHIEVING DIVERSITY, INCLUSION, AND EQUITY IN CLINICAL RESEARCH

Guidance Document

Barbara E. Bierer, MD  
Sarah A. White, MPH  
Laura G. Meloney, MPH, MS  
Hayat R. Ahmed, MS  
David H. Strauss, MD  
Luther T. Clark, MD



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# ACHIEVING DIVERSITY, INCLUSION, AND EQUITY IN CLINICAL RESEARCH

Toolkit

Barbara E. Bierer, MD  
Sarah A. White, MPH  
Laura G. Meloney, MPH, MS  
Hayat R. Ahmed, MS  
David H. Strauss, MD  
Luther T. Clark, MD

Achieving Diversity, Inclusion,  
Equity In Clinical Research

Guidance and Toolkit

[mrctcenter.org/diversity-in-clinical-trials](https://mrctcenter.org/diversity-in-clinical-trials)

Released 6 August 2020



# Leadership

- RADM Richardae Araojo, PharmD, MS, U.S. FDA
- Barbara E. Bierer, MD, MRCT Center
- Luther T. Clark, MD, Merck & Co., Inc.
- Milena Lolic, MD, U.S. FDA
- David H. Strauss, MD, Columbia University
- Sarah White, MPH, MRCT Center

## MRCT Center staff:

- Carmen Aldinger, PhD, MPH
- Hayat Ahmed, MS
- Laura Meloney, MS, MPH
- Joshua Smith-Sreen, MPH

And the invaluable contributions of >50 workgroup members, representing:

- Patients, Patient Advocates
- Academia
- Pharmaceutical companies
- CROs
- Non-profit organizations
- Trade associations
- Government agencies
- Research institutes

Each serving in their individual capacity.



# Guidance Document

- Multi-stakeholder contributions and consensus
- Practical and actionable recommendations
- Accountability section considers how each stakeholder can change the paradigm
- Toolkit provides adaptable resources not easily found elsewhere



[mrctcenter.org/diversity-in-clinical-trials](https://mrctcenter.org/diversity-in-clinical-trials)



# Starting in April!

## Practical Approaches to Improving Diversity in Clinical Trials

Second Wednesday each month  
11AM – 12noon ET



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### LEANING IN: A WEBINAR SERIES

**STAY TUNED FOR UPCOMING WEBINAR DETAILS!**



# Diversity in Clinical Trials Website: [mrctcenter.org/diversity-in-clinical-trials](http://mrctcenter.org/diversity-in-clinical-trials)

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## DIVERSITY, INCLUSION, AND EQUITY IN CLINICAL TRIALS

ABOUT THIS WORK | LEADERSHIP & WORKGROUP | PRINCIPLES & SUPPOSITIONS | NEWS & EVENTS



**LEARNING IN: A WEBINAR SERIES**  
Practical Approaches to improving Diversity in Clinical Trials

REGISTER NOW!

### UPCOMING

February 10, 2021: *Role of Data in Diversity: Genetics & RWD*

[More information and registration](#)

New  
Updates  
March  
2021

### Improve Diversity, Inclusion, and Equity in Clinical Trials

The Multi-Regional Clinical Trials Center released Version 1.0 of "Achieving Diversity, Inclusion, and Equity in Clinical Research" in August of 2020.

"Achieving Diversity, Inclusion, and Equity in Clinical Research" is a comprehensive Guidance Document and Toolkit that aims to clarify the importance of, advance the goals of, and provide practical and actionable ways to improve diverse representation of participants in clinical research.

Version 1.1, containing minor editorial updates, was released in January of 2021, and can be downloaded or ordered as a hard copy (priced at cost without royalties) below.

DOWNLOAD THE GUIDANCE DOCUMENT

ORDER THE PRINTED GUIDANCE AND TOOLKIT

DOWNLOAD THE TOOLKIT



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# **Genetics and Clinical Research Diversity: Implications of Recent Advances in Genetics and Genomics**

**Luther T. Clark, MD  
Deputy Chief Patient Officer  
Merck**

**February 10, 2021**

# Definitions

<b>Genetics</b>	Study of heredity; function and composition of single genes
<b>Genomics</b>	Study of genes, their functions, inter-relationships and related techniques
<b>Pharmacogenomics</b>	Study of how genes affect a person's response to particular drugs
<b>Geographic Ancestry</b>	Geographic locations of family origins
<b>Genetic Ancestry</b>	Method of quantifying ancestral background statistically by understanding genome history; different genomic segments may have their own ancestral history
<b>Race</b>	Sociocultural construct; not biologically distinct entities; genetically admixed populations
<b>Precision Medicine</b>	Identification of which approaches effective for which patients based on genetic, environmental, and lifestyle factors

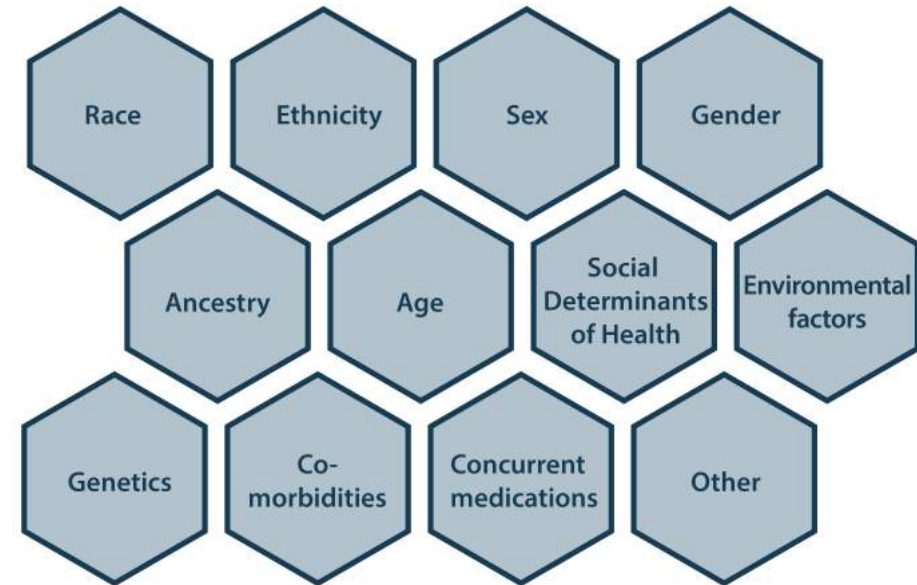


# Potential of Genetics & Genomic Medicine

## Genetics, genomics, genomic technology

- Potential to improve health and health care by individual tailoring of prevention/treatment strategies
- Potential for wider adoption due to recent research and technological advances, and the decreasing cost of DNA sequencing

## A broad definition of diversity



*Approximately 20% of newly FDA approved molecular entities (NMEs) indicated differences in exposure and/or response across racial or ethnic groups that resulted in different prescribing recommendations for specific populations*

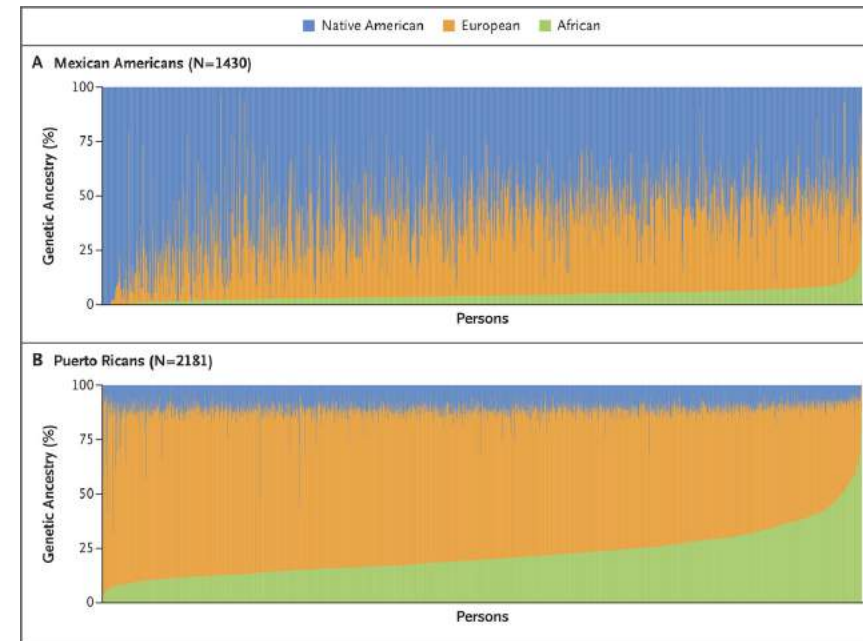
- Ramamoorthy A, Pacanowski MA, Bull J, Zhang L. Racial/ethnic differences in drug disposition and response: review of recently approved drugs. *Clinical Pharmacology & Therapeutics*. 2015 Mar;97(3):263-73.



# Race, Ethnicity & Genetic Ancestry

- Self-identified race/ethnicity are crude social constructs; genetically admixed populations
- Geographical ancestry may correlate with race/ethnicity but does not predict an individual's genotype or response to drugs
- Genomics and precision medicine may advance our understanding of race, ethnicity and their utility in clinical practice and research.

Genetic Admixture in the Mexican American and Puerto Rican Populations.



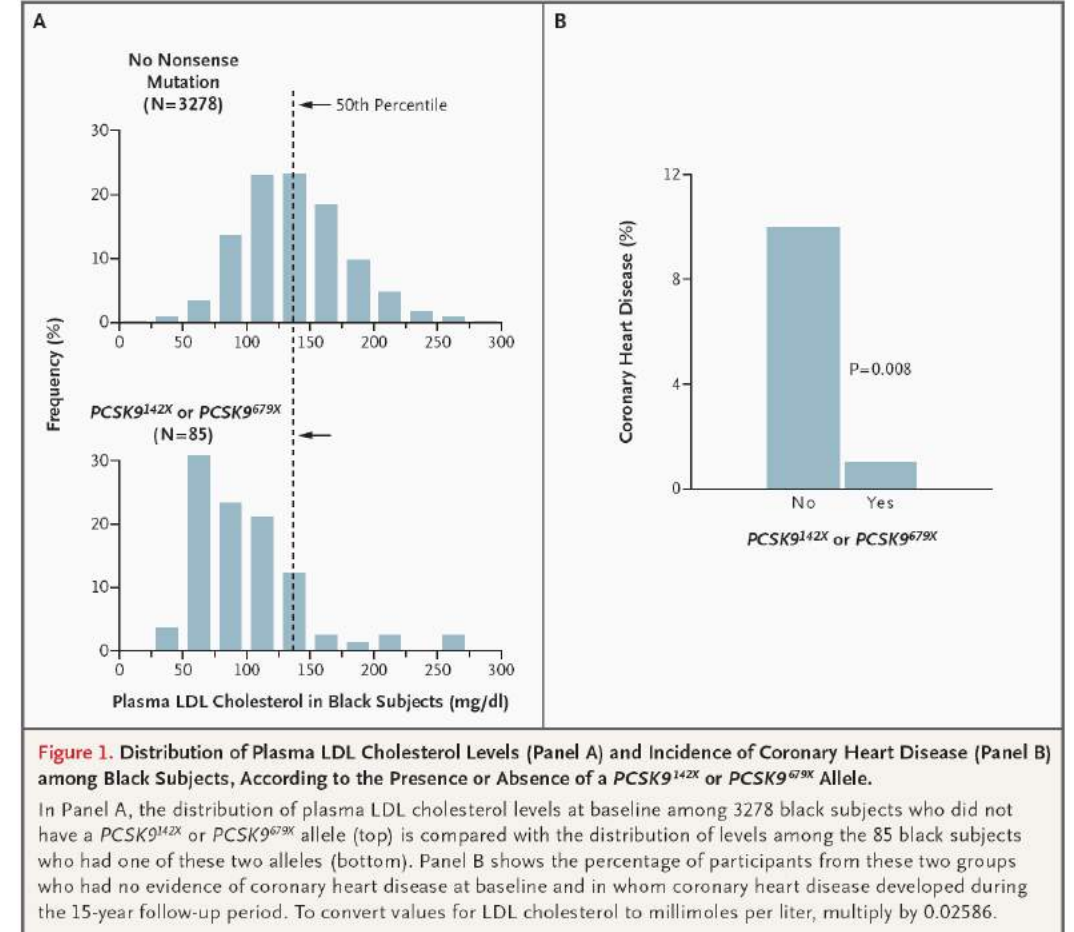
LN Borrell, et al. Race and Genetic Ancestry in Medicine — A Time for Reckoning with Racism NE J Med 2021;384:474-480.

# Differences in treatment response based on race, ethnicity, geographic ancestry, and genomics

CONDITION/ TREATMENT	GEOGRAPHIC/ ETHNIC ANCESTRY	SUMMARY
Clopidogrel (Anti-platelet therapy)	East Asians, Native Hawaiians	Genetic variation in expression of cytochrome (CYP) enzymes results in less efficacy of anti-platelet therapies in persons with CYP2C19*2 or CYP2C19*3 allele; frequencies of these genetic variations higher in East Asians, Native Hawaiians, other Pacific Islanders.
Carbamazepine (Treatment for Seizures)	Asians	HLA allele B*1502 marker for carbamazepine-induced Stevens–Johnson Syndrome and toxic epidermal necrolysis in Han Chinese; high frequency of this allele in many Asian populations; not found in Caucasian patients. FDA recommends genotyping Asians for the allele
NASH; NAFLD (nonalcoholic fatty liver disease)	Hispanics of Mexican, Dominican and Puerto Rican	Many Hispanics in U.S possess the PNPLA3 gene variation which has been associated with increased risk of NAFLD and NASH. Further studies needed to clarify differences in prevalence found among Hispanic subtypes living in the U.S.
Cystic Fibrosis	Northern European	Cystic fibrosis (CF) is a genetic disorder that is most common among people of Northern European ancestry; it is least common in Africans and Asians.

# Differences in treatment response based on race, ethnicity, geographic ancestry, and genomics (Black, African Ancestry)

CONDITION/ TREATMENT	SUMMARY
BiDil (ISDN/Hydralazine)	Strong benefits in self-identified Blacks with heart failure; explanation unknown.
ACE Inhibitors	Individuals of African ancestry at greater risk for HBP; less responsive to ACE-I; greater risk for angioedema
PCSK9 Inhibitors	Blacks more likely to have the common PCSK9 gene variants associated with loss-of-function (LOF), lower LDL and decreased CVD risk than Whites. Identification of genetic variants and enriched polymorphisms important in discovery and development of PCSK9 inhibitors.
Sickle Cell trait and Disease	SS results from a mutation in the beta-chain gene resulting in an abnormal hemoglobin beta chain. This mutation is more common in individuals with African ancestry, it is frequently thought of as a disease that only affects those of African decent, though it is found in other ethnicities.



ARIC Study. Cohen *et al* 2006 NEJM



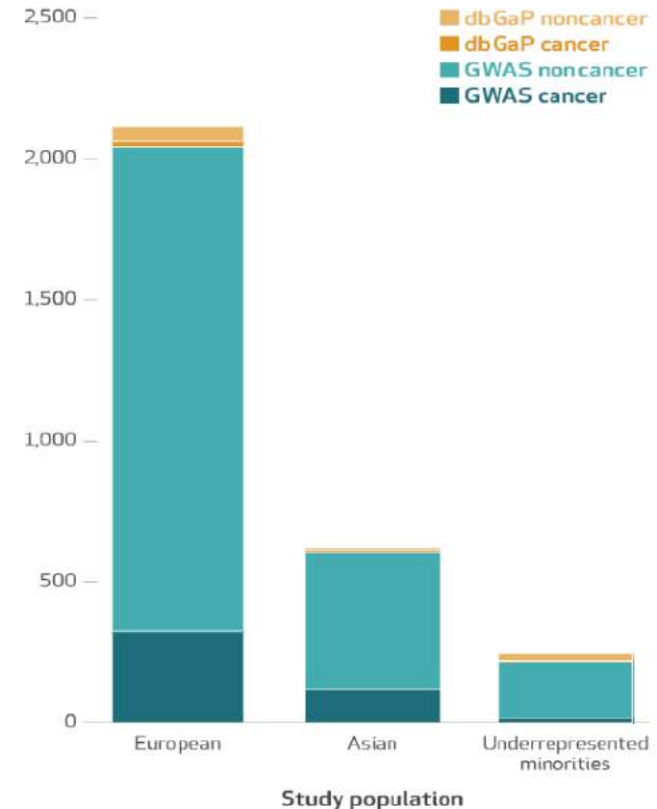
# Hepatitis C: Interaction between viral genomics and host genomics

- Six major genotypes of the HCV that infect the liver that vary in prevalence (regional and ethnic/racial), disease severity, and response to treatment.
- Hepatitis C is potentially curable; treatment efficacy must be tested and demonstrated for each of the major viral genotypes – thus tested in the populations and regions where these are prevalent.
  - Genotype 1: most common in the U.S. ; more common in Blacks than others
  - Genotype 4: most prevalent in the Middle East and Africa;
  - Genotype 5: most prevalent in South Africa; and
  - Genotype 6: most prevalent in Southeast Asia

# Genomics and Health Equity

- Genomics has the potential to improve health outcomes broadly but benefits may not be equitably available to all populations
- Racial and ethnic minorities underrepresented in genomic databases
- Lack of diversity in genomic research limits understanding of the relationships of genes and disease in unstudied populations
- Genomic databases need greater inclusion of diverse ancestral populations and ancestral information

Numbers of genomewide association studies and genotype and phenotype studies, by disease area and study population demographic group, 2017

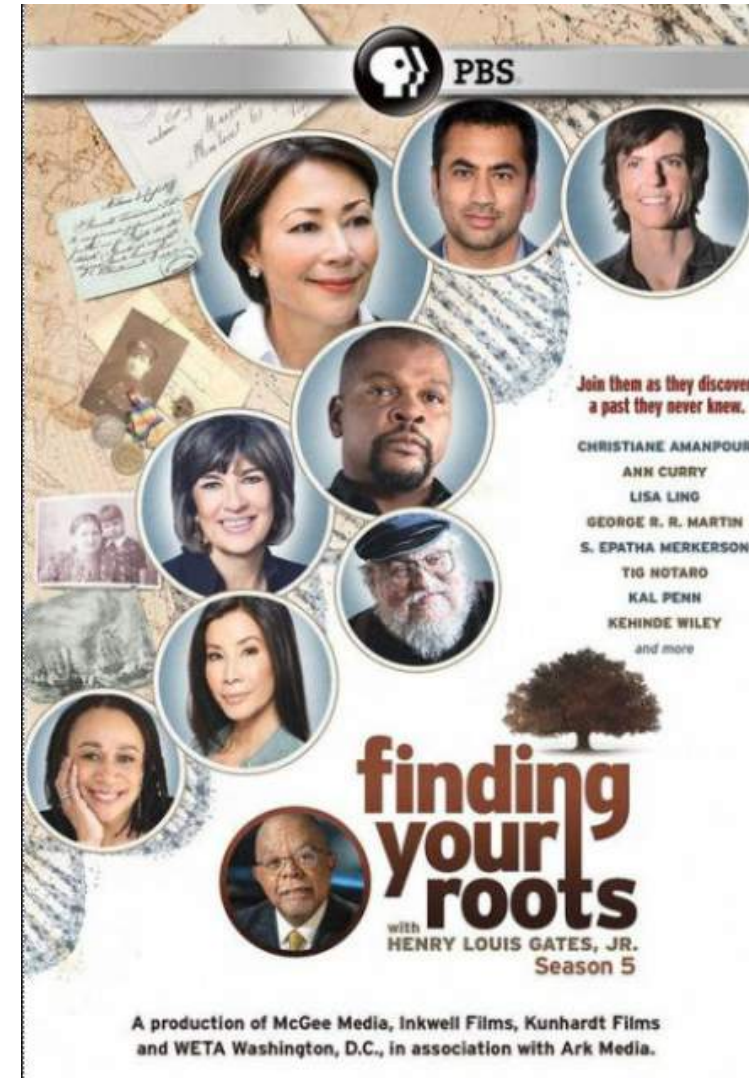


Landry LG, Ali N, Williams DR, Rehm HL, Bonham VL. Lack Of Diversity In Genomic Databases Is A Barrier To Translating Precision Medicine Research Into Practice. May 2018 37:5 Health Affairs 2018;37:5

# Direct-to-Consumer Genetic Testing



- Increasing popularity of DTC Genetic Testing
- Potential to support understanding of relationship between genetics, geography, ethnicity, interactions between biological and SDOH
- Individuals often have multiple geographic ancestries
- Genetic ancestries may be different from what individuals believe and how they self-identify





# Key Takeaways

- The prevalence of genetic variants that impact disease can vary across populations.
- Increased diversity and inclusion of research participants in genomic and genetic research is necessary if the promises of genetic and genomic research are to benefit all
- Greater representation of underrepresented individuals and those from geographically-diverse populations will increase knowledge of genomic variants in population subgroups, geographic ancestry and the genetic and biological mechanisms linking SDOH to health and disease
- At present, self-identified race continues to have utility
  - correlates with geographic ancestry, a determinant of genomic variation (that can influence responses to drugs)
  - a proxy for other difficult to measure factors, i.e. SDOH (environment, health behaviors, effects of chronic bias, comorbidities, treatment seeking behavior and disease at presentation) that may impact treatment responses



# Today's speaker



**Latha Palaniappan, MD, MS,**  
Professor of Medicine  
Stanford University School of Medicine



# Role of Data in Diversity

## Examples with Real World Data

Latha Palaniappan MD, MS

Professor of Medicine

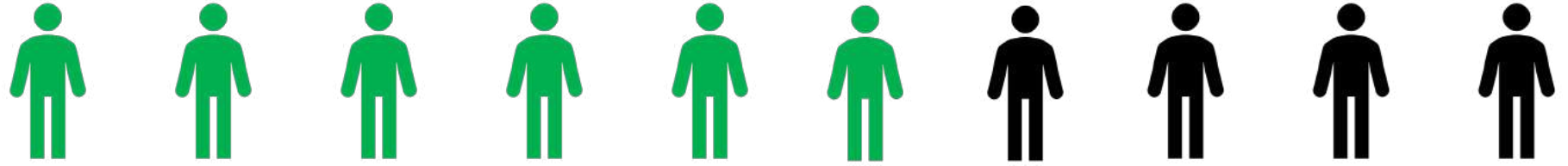
Stanford University School of Medicine





# Role of Data in Diversity

- Real World Data for Diversity Research:
  - National Datasets
    - National Health Interview Survey (NHIS)
    - National Health and Nutrition Examination Survey (NHANES)
  - Electronic Health Records (EHR)
  - Mortality Data
- Clinical Implications
  - Culturally Competent Intervention Studies
  - Pharmacogenetic Differences
- Community Impact (CARE)



60% of the Globe

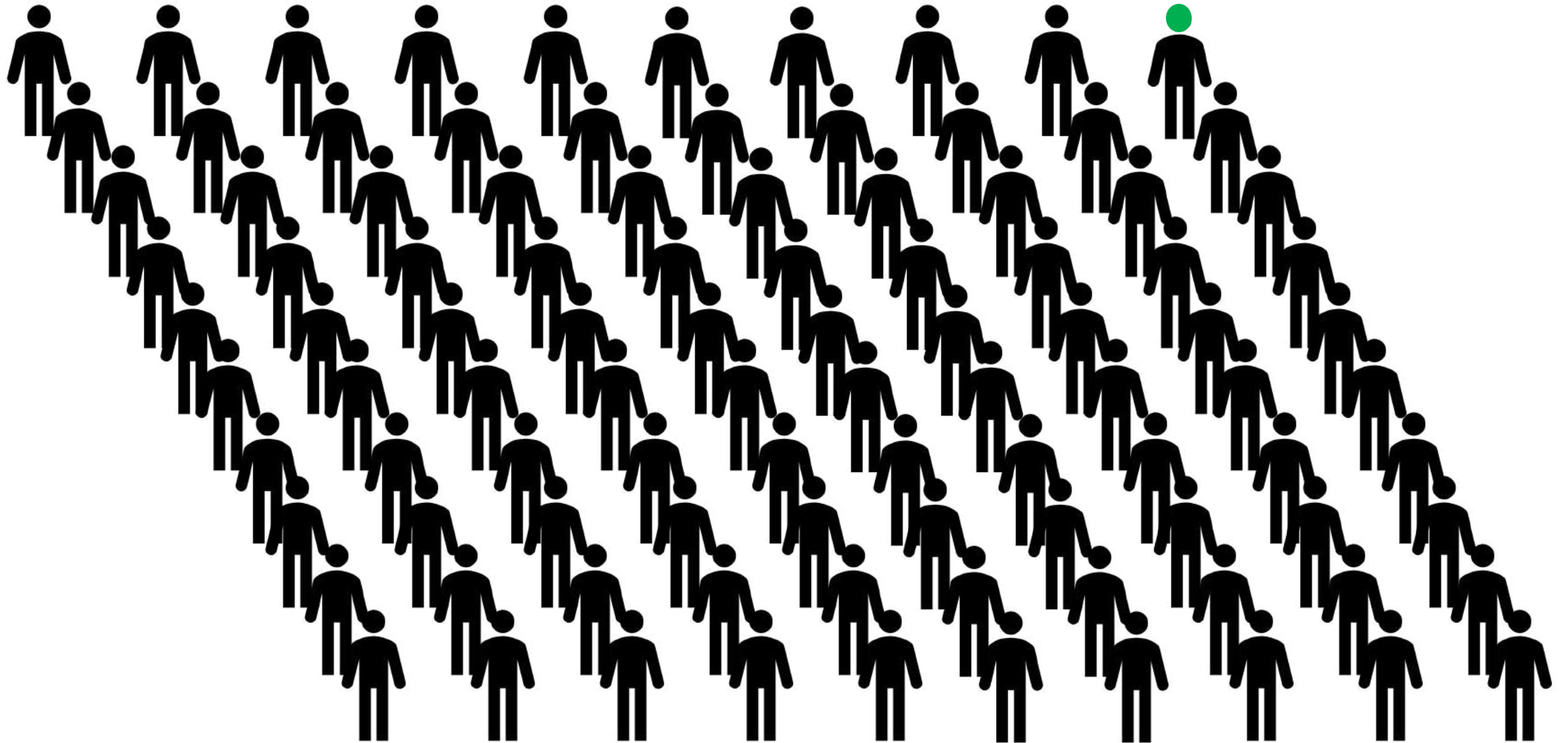


6% of the U.S.



30% of the Bay Area

< 1% of NIH Funding

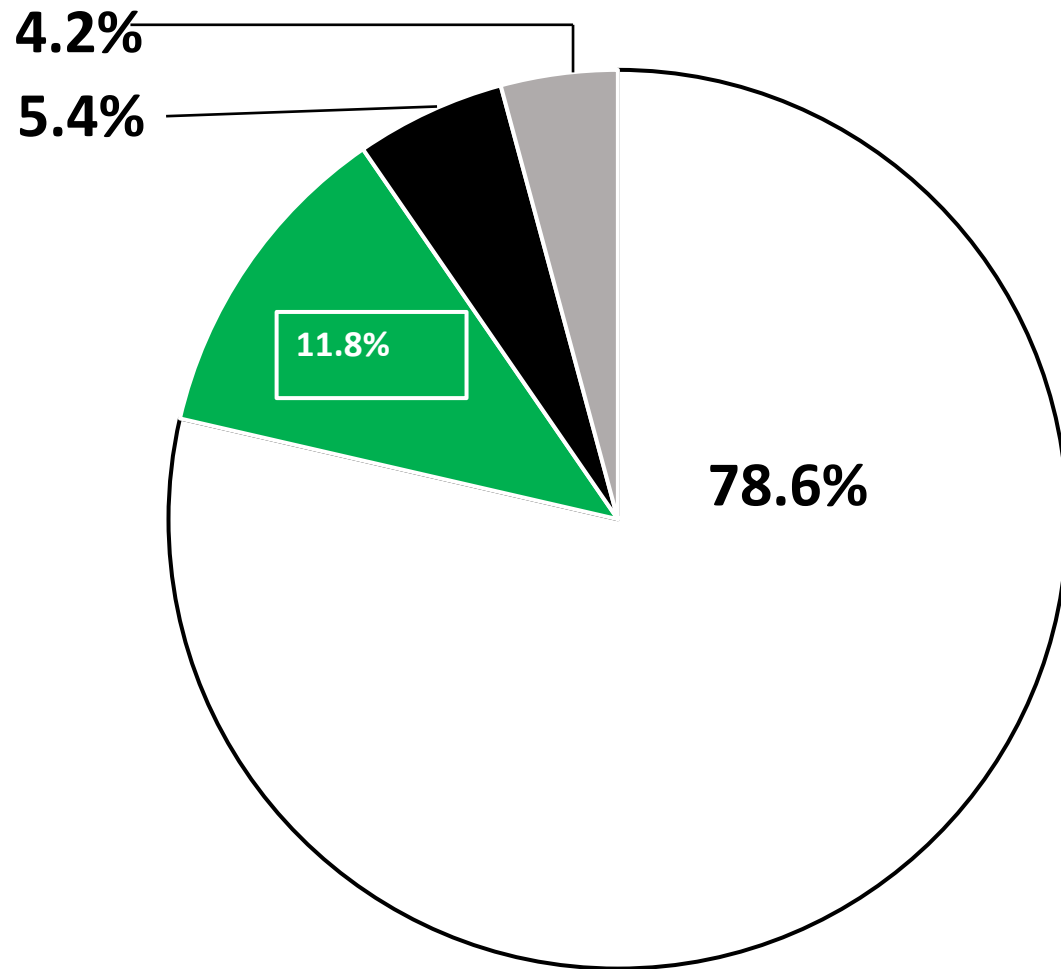




Decisions around Asian health  
are made using mainly  
non-Asian data.

# Global Racial Participation in Clinical Trials

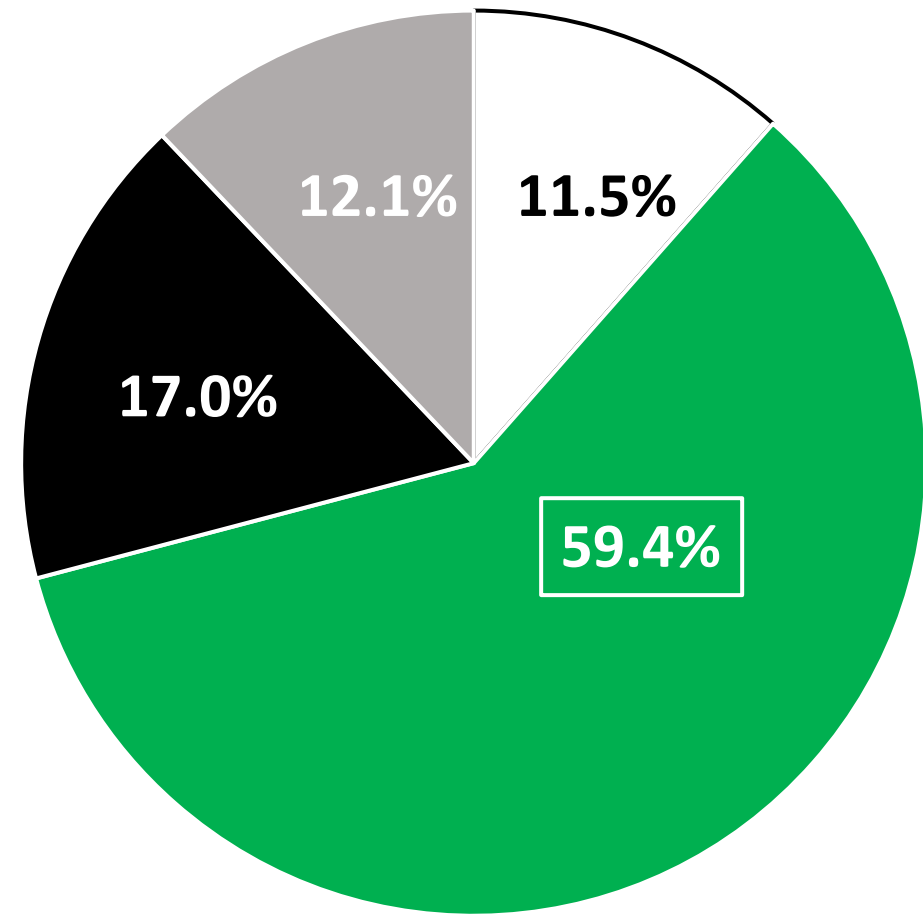
Total Participants = 131,749



White Asian Black Other

# Global Population

Total Population = 7.8 billion



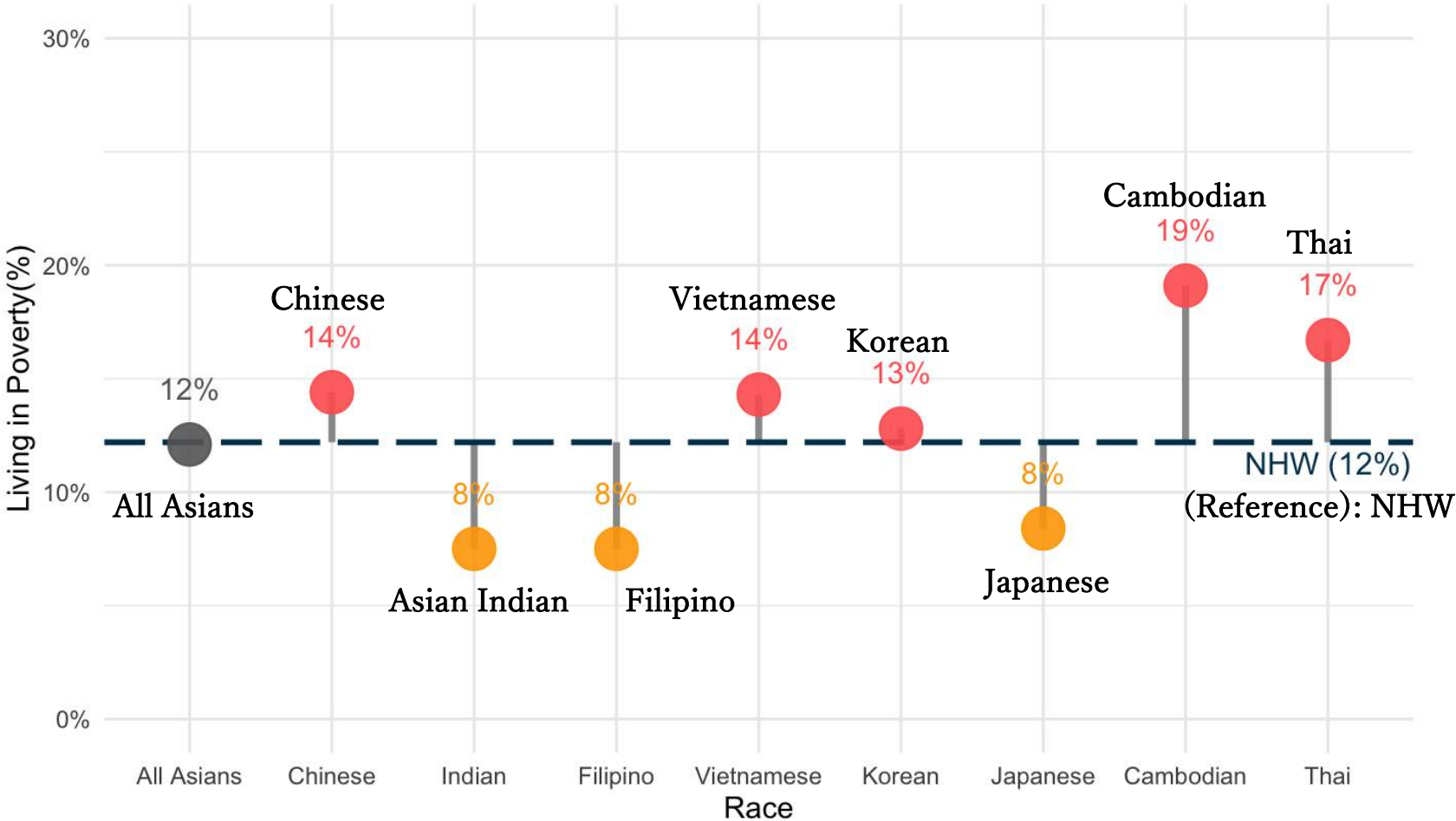
White Asian Black Other

Source: U.S. Food & Drug Administration. FDA, 2016, p. 15, 2015 - 2016 GLOBAL PARTICIPATION IN CLINICAL TRIALS REPORT.

Source: <https://www.census.gov/popclock/world>

# Asian Americans

## Income: % Living in Poverty



Source: Pew Research Center, US census, American Community Survey 2015 (IPUMS)



# Role of Data in Diversity National Datasets: NHIS/NHANES

## Psychological Distress and Mental Health Service Utilization Disparities in Disaggregated Asian American Populations, 2006~2018

Kaplana K. Balaraman, BS, Nicholas Ortega, Shozen Dan, Malathi Srinivasan, MD, **Latha Palaniappan, MD MS**, Jaiveer Singh, Sukyung Chung, PhD, and Shashank V. Joshi MD

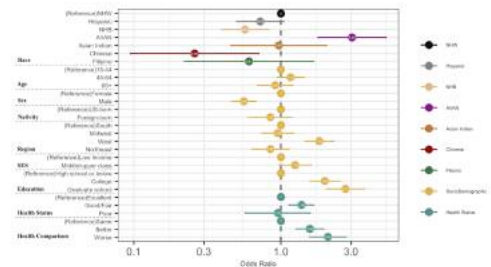
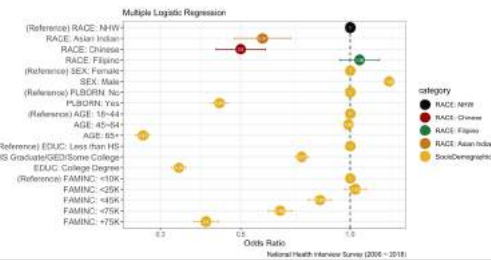
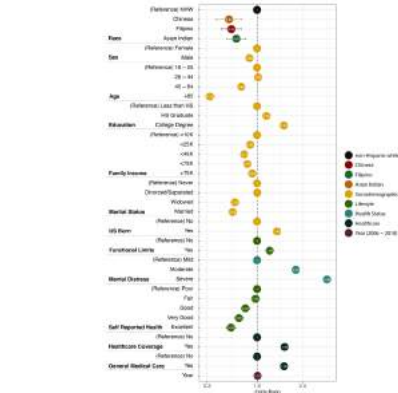
## Disaggregated Asian American Cigarette and Alternative Tobacco Product Use: Results from the National Health Interview Survey (NHIS), 2006~2018

Manaha Rao; Lilly Bar; Yunnan Yu; Sid Venkatraman; Malathi Srinivasan, MD; Arnab Mukherjea, DrPH, MPH; Jiang Li, PhD, MPH; Sukyung Chung, PhD; **Latha Palaniappan, MD MS**

## Effects of Ethnicity on Complementary Therapy Adoption over 10 Years, National Health Interview Survey 2007 and 2017

Yuelin He; Bridgette Han; Darynn Gayle Paragas; Nora Sharp; **Latha Palaniappan, MD MS**; Sukyung Chung, PhD; Randall S. Stafford, MD, PhD; Malathi Srinivasan, MD

$N[\text{Asian Americans}] < 1,500$



### ORIGINAL INVESTIGATION

## The Metabolic Syndrome

Prevalence and Associated Risk Factor Findings in the US Population From the Third National Health and Nutrition Examination Survey, 1988-1994

Yong-Woo Park, MD, PhD; Shankuan Zhu, MD, PhD; Latha Palaniappan, MD; Stanley Heshka, PhD; Mercedes R. Carnethon, PhD; Steven B. Heymsfield, MD

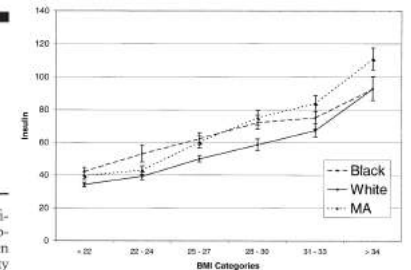
Outcome	N	High education with/without outcome	Odds ratio comparing high education race/ethnicity, nativity groups to non-Latino White high education
Diabetes	86,1103	Ref	
Non-Latino Whites	42,215	2.53 (1.40, 4.59)	
Foreign-born Asians, ≥20 years	27,283	2.43 (1.52, 3.89)	
Foreign-born Asians, <20 years			
Obesity (Standard) <sup>1</sup>			
Non-Latino Whites	334,824	Ref	
Foreign-born Asians, ≥20 years	133,216	0.43 (0.27, 0.63)	
Foreign-born Asians, <20 years	20,270	0.30 (0.20, 0.44)	
Obesity (Asian-specific) <sup>2</sup>			
Non-Latino Whites	334,824	Ref	
Foreign-born Asians, ≥20 years	109,190	1.59 (1.41, 2.79)	
Foreign-born Asians, <20 years	114,185	1.60 (1.18, 2.19)	
Smoking			
Non-Latino Whites	94,1085	Ref	
Foreign-born Asians, ≥20 years	14,242	0.73 (0.41, 1.30)	
Foreign-born Asians, <20 years	22,288	0.68 (0.36, 1.29)	

### Epidemiology/Health Services/Psychosocial Research ORIGINAL ARTICLE

## Heterogeneity in the Relationship Between Ethnicity, BMI, and Fasting Insulin

LATHA P. PALANIAPPAN, MD, MS  
MERCEDIS R. CARNETHON, PHD  
STEPHEN P. FORTMANN, MD

prevalence of type 2 diabetes was significantly greater in Mexican-American subjects than in white subjects, even when comparisons were made within obesity



Contents lists available at ScienceDirect

**Preventive Medicine**

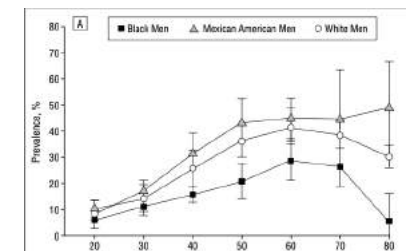
ELSEVIER

journal homepage: [www.elsevier.com/locate/ypmed](http://www.elsevier.com/locate/ypmed)

PM  
Preventive Medicine

## Social and clinically-relevant cardiovascular risk factors in Asian Americans adults: NHANES 2011-2014

Sandra E. Echeverria <sup>a,\*</sup>, Mehnaz Mustafa <sup>b</sup>, Sri Ram Pentakota <sup>c</sup>, Soyeon Kim <sup>d</sup>, Katherine G. Hastings <sup>e</sup>, Chioma Amadi <sup>a</sup>, Latha Palaniappan <sup>e</sup>


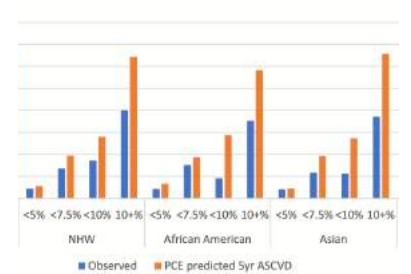


# Role of Data in Diversity Electronic Health Records

**ORIGINAL RESEARCH**

**Atherosclerotic Cardiovascular Disease Risk Prediction in Disaggregated Asian and Hispanic Subgroups Using Electronic Health Records**

Fatima Rodriguez, MD, MPH; Sukyung Chung, PhD; Manuel R. Blum, MD; Adrien Coulet, PhD; Sanjay Basu, MD, PhD; Latha P. Palaniappan, MD, MS

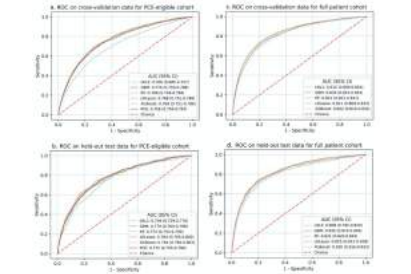
npj | Digital Medicine

www.nature.com/npjdigitalmed

**ARTICLE OPEN** Check for updates

**Machine learning and atherosclerotic cardiovascular disease risk prediction in a multi-ethnic population**

Andrew Ward<sup>1,2</sup>, Ashish Sarraju<sup>2,7</sup>, Sukyung Chung<sup>3,4</sup>, Jiang Li<sup>5</sup>, Robert Harrington<sup>2</sup>, Paul Heidenreich<sup>2</sup>, Latha Palaniappan<sup>1,4</sup>, David Scheinker<sup>5,6,8</sup> and Fatima Rodriguez<sup>2,9,10</sup>



DIABETES RESEARCH AND CLINICAL PRACTICE 93 (2011) 248-254

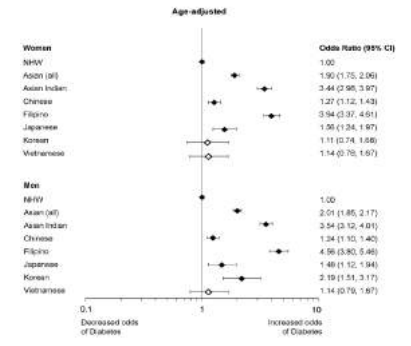
Diabetes Research and Clinical Practice

International Diabetes Federation

**Type 2 diabetes: Identifying high risk Asian American subgroups in a clinical population**

Elsie J. Wang<sup>a</sup>, Eric C. Wong<sup>a</sup>, Anjali A. Dixit<sup>b</sup>, Stephen P. Fortmann<sup>c</sup>, Randolph B. Linde<sup>d</sup>, Latha P. Palaniappan<sup>a,\*</sup>

<sup>a</sup> Palo Alto Medical Foundation Research Institute, Department of Health Policy Research, Palo Alto, CA, United States  
<sup>b</sup> Columbia University, Mailman School of Public Health, United States  
<sup>c</sup> Kaiser Permanente Center for Health Research, United States  
<sup>d</sup> Palo Alto Medical Foundation, Palo Alto, CA, United States

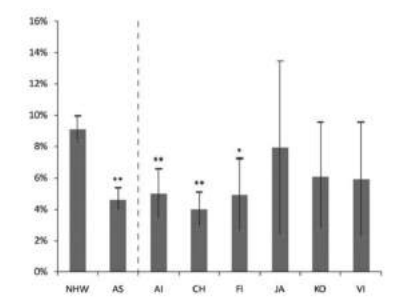


JOGNN

**RESEARCH**

**Clinically Identified Postpartum Depression in Asian American Mothers**

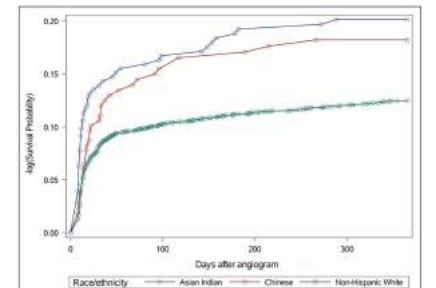
Deepika Goyal, Elsie J. Wang, Jeremy Shen, Eric C. Wong, and Latha P. Palaniappan



Journal of the American Heart Association

**ORIGINAL RESEARCH**

**Heterogeneity of Treatment and Outcomes Among Asians With Coronary Artery Disease in the United States**



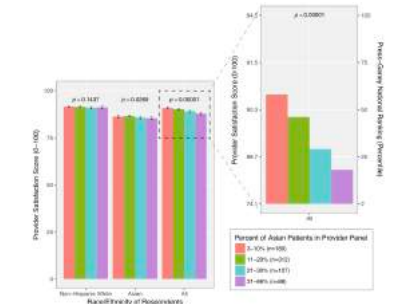
Liao et al. BMC Health Services Research (2020) 20:678  
<https://doi.org/10.1186/s12913-020-05534-6>

BMC Health Services Research

**RESEARCH ARTICLE Open Access** Check for updates

**The association between Asian patient race/ethnicity and lower satisfaction scores**

Lillian Liao<sup>1,2,3\*</sup>, Sukyung Chung<sup>4</sup>, Jonathan Altamirano<sup>5</sup>, Luis Garcia<sup>5</sup>, Magali Fassiotto<sup>5</sup>, Bonnie Maldonado<sup>5</sup>, Paul Heidenreich<sup>2</sup> and Latha Palaniappan<sup>2</sup>



N[Asian Americans] = 250,000



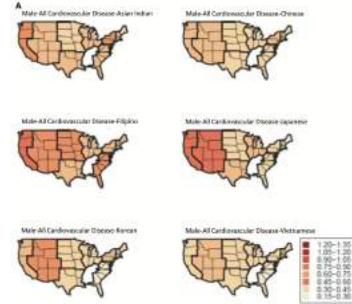


# Role of Data in Diversity Mortality Records

ORIGINAL RESEARCH

## Geographic Variations in Cardiovascular Disease Mortality Among Asian American Subgroups, 2003–2011

Jia Pu, PhD; Katherine G. Hastings, MPH; Derek Boothroyd, PhD; Powell O. Jose, MD; Sukyung Chung, PhD; Janki B. Shah, MD; Mark R. Cullen, MD; Latha P. Palaniappan, MD, MS; David H. Rehkopf, ScD, MPH

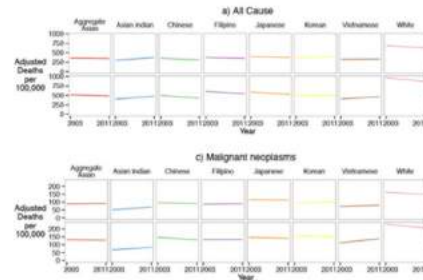


PLOS ONE

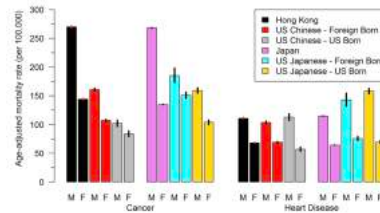
RESEARCH ARTICLE

## Leading Causes of Death among Asian American Subgroups (2003–2011)

Katherine G. Hastings<sup>1</sup>, Powell O. Jose<sup>2</sup>, Kristopher I. Kapphahn<sup>1</sup>, Ariel T. H. Frank<sup>2</sup>, Benjamin A. Goldstein<sup>1</sup>, Caroline A. Thompson<sup>3</sup>, Karen Eggleston<sup>4</sup>, Mark R. Cullen<sup>1</sup>, Latha P. Palaniappan<sup>1\*</sup>



Cause Specific Mortality (combined 9 years)



Open Access

Research

## BMJ Open Mortality outcomes for Chinese and Japanese immigrants in the USA and countries of origin (Hong Kong, Japan): a comparative analysis using national mortality records from 2003 to 2011

Katherine G. Hastings,<sup>1</sup> Karen Eggleston,<sup>2</sup> Derek Boothroyd,<sup>3</sup> Kristopher I. Kapphahn,<sup>3</sup> Mark R. Cullen,<sup>4</sup> Michele Barry,<sup>5</sup> Latha P. Palaniappan<sup>1</sup>

CANCER EPIDEMIOLOGY, BIOMARKERS & PREVENTION | RESEARCH ARTICLE

## One Size Does Not Fit All: Marked Heterogeneity in Incidence of and Survival from Gastric Cancer among Asian American Subgroups

Robert J. Huang<sup>1</sup>, Nora Sharp<sup>2</sup>, Ruth O. Talamoa<sup>2</sup>, Hanlee P. Ji<sup>3</sup>, Joo Ha Hwang<sup>1</sup>, and Latha P. Palaniappan<sup>4</sup>



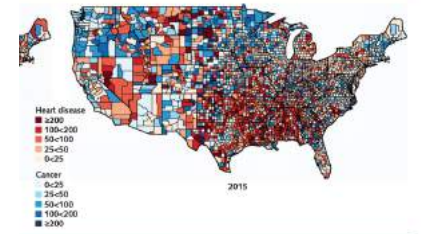
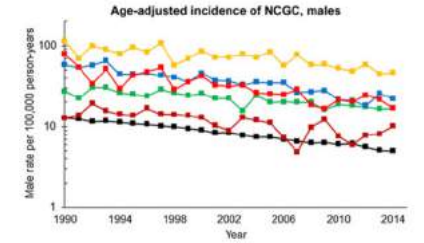
ORIGINAL RESEARCH

Annals of Internal Medicine

## Socioeconomic Differences in the Epidemiologic Transition From Heart Disease to Cancer as the Leading Cause of Death in the United States, 2003 to 2015

An Observational Study

Katherine G. Hastings, MPH; Derek B. Boothroyd, PhD; Kristopher Kapphahn, MS; Jiaqi Hu, MPH; David H. Rehkopf, ScD, MPH; Mark R. Cullen, MD; and Latha Palaniappan, MD, MS

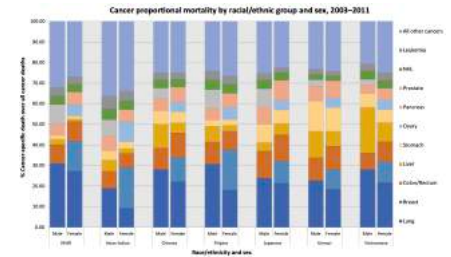


Research Article

## The Burden of Cancer in Asian Americans: A Report of National Mortality Trends by Asian Ethnicity

Caroline A. Thompson<sup>1,2</sup>, Scarlett Lin Gomez<sup>3,4,5</sup>, Katherine G. Hastings<sup>6</sup>, Kristopher Kapphahn<sup>7</sup>, Peter Yu<sup>8</sup>, Salma Shariff-Marco<sup>3,4,5</sup>, Ami S. Bhatt<sup>9,10</sup>, Heather A. Wakelee<sup>5,11</sup>, Manali I. Patel<sup>11,12</sup>, Mark R. Cullen<sup>6,13</sup>, and Latha P. Palaniappan<sup>6</sup>

Cancer Epidemiology, Biomarkers & Prevention



# Role of Data in Diversity Examples with Real World Data

## National Datasets

### The Metabolic Syndrome

Prevalence and Associated Risk Factor Findings in the US Population From the Third National Health and Nutrition Examination Survey, 1988-1994  
Ying-Wen Park, MD, PhD; Shaohua Zhu, MD, PhD; Latha Palaniappan, MD; Stanley Franklin, PhD; Mercedes R. Carnethon, PhD; Steven R. Hoenes, MD

### Heterogeneity in the Relationship Between Ethnicity, BMI, and Fasting Insulin

Laura P. Pechmann, MS; Monica B. Cozzolino, MS; Thomas P. Altmann, MD

### Disaggregated Asian American Cigarette and Alternative Tobacco Product Use: Results from the National Health Interview Survey (NHIS), 2006-2018

Mehmet R. Ulu, PhD; Yanyan Tu, MS; David H. Gustafson, MD; Ansh Malhotra, DPH, MPH; Jiali Li, PhD, MPH; Yanyan Tu, PhD; Latha Palaniappan, MD, MS

### Psychological Distress and Mental Health Service Utilization Disparities in Disaggregated Asian American Populations, 2006-2018

Kaushik K. Baharum, BS; Nicholas Ortega, Shresh Dha; Malathi Srinivasan, MD; Latha Palaniappan, MD MS; Jaiver Singh, Sukyoung Chung, PhD, and Shashank V. Joshi, MD

### Effects of Ethnicity on Complementary Therapy Adoption over 10 Years, National Health Interview Survey 2007 and 2017

Yuelin He, Bridgette Han; Daryn Gerle; Parasag; Nore Sharp; Latha Palaniappan, MD MS; Sukyoung Chung, PhD; Randall S. Stafford, MD, PhD; Malathi Srinivasan, MD

## Dyslipidemia in Special Ethnic Populations

Jia Fu, MD<sup>1,2</sup>, Robert Romanelli, MD<sup>1</sup>, Beihan Zhao, MD<sup>1</sup>, Kristen M.J. Azar, MD, MPH, MSc<sup>1,2</sup>, Katherine G. Hastings, ScD<sup>1</sup>, Vani Nirmal, MD<sup>1</sup>, Stephen P. Fortmann, MD<sup>1</sup>, Latha P. Palaniappan, MD, MS<sup>1</sup>

Journal of the American Heart Association

### Heterogeneity of Treatment and Outcomes Among Asians With Coronary Artery Disease in the United States

## Electronic Health Records

Atherosclerotic Cardiovascular Disease Risk Prediction in Disaggregated Asian and Hispanic Subgroups Using Electronic Health Records  
Fatima Rodriguez, MD, MPH; Sukyoung Chung, PhD; Manuel R. Blum, MD; Arifin Collier, PhD; Safayy Bahi, MD, PhD; Latha P. Palaniappan, MD, MS

## Machine learning and atherosclerotic cardiovascular disease risk prediction in a multi-ethnic population

Andrew Wood<sup>1,2</sup>, Ashish Sarraf<sup>1,2</sup>, Sukyoung Chung<sup>1,2,3,4,5,6</sup>, Jang Liu<sup>1,2</sup>, Robert Harrington<sup>1</sup>, Paul Heidenreich<sup>1</sup>, Latha Palaniappan<sup>1,2</sup>, David Scheiner<sup>1,2,3</sup>, and Fatima Rodriguez<sup>1,2,3,4,5,6</sup>

# Uncovering Consistent Signals on Health

## Mortality Records

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### One Size Does Not Fit All: Marked Heterogeneity in Incidence of and Survival from Gastric Cancer among Asian American Subgroups

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### Cardiovascular Disease Mortality in Asian Americans

Powell O. Jone, MD<sup>1</sup>; Ajal T. Ji, PhD, MPH; Kristopher L. Kapphahn, MS; Benjamin A. Goldstein, PhD, MPH; Karen Eggleston, PhD; Katherine G. Hastings, BA<sup>1</sup>; Mark R. Cullen, MD; Latha P. Palaniappan, MD, MS<sup>1</sup>

### Geographic Variations in Cardiovascular Disease Mortality Among Asian American Subgroups, 2003-2011

Jia Fu, PhD; Katherine G. Hastings, MPH; Derek Boothroyd, PhD; Powell O. Jone, MD; Sukyoung Chung, PhD; Janki B. Shah, MD; Mark R. Cullen, MD; Latha P. Palaniappan, MD, MS; David H. Rehkopf, ScD, MPH

### Mortality outcomes for Chinese and Japanese immigrants in the USA and countries of origin (Hong Kong, Japan): a comparative analysis using national mortality records from 2003 to 2011

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### The Burden of Cancer in Asian Americans: A Report of National Mortality Trends by Asian Ethnicity

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### Cross-national comparisons of increasing suicidal mortality rates for Koreans in the Republic of Korea and Korean Americans in the USA, 2003-2012

Katherine G. Hastings<sup>1</sup>, Powell O. Jone<sup>2</sup>, Kristopher L. Kapphahn<sup>3</sup>, Ariel T. H. Frank<sup>4</sup>, Benjamin A. Goldstein<sup>5</sup>, Caroline A. Thompson<sup>6</sup>, Karen Eggleston<sup>7</sup>, Mark R. Cullen<sup>8</sup>, Latha P. Palaniappan<sup>1</sup>

### Patient and Provider Characteristics Associated with Colorectal, Breast, and Cervical Cancer Screening among Asian Americans

Caroline A. Thompson<sup>1</sup>, Scarlett Lin Gomez<sup>2</sup>, Alan Chan<sup>3</sup>, John K. Chan<sup>4</sup>, Sean R. McClellan<sup>5</sup>, Sukyoung Chung<sup>6</sup>, Cliff Osoff<sup>7</sup>, Yen-Hsin<sup>8</sup>, and Latha P. Palaniappan<sup>1</sup>

### "All of Those Things We Don't Eat": A Culture-Centered Approach to Dietary Health Meanings for Asian Indians Living in the United States

Christopher J. Koenig, Department of Medicine, University of California, San Francisco  
Mohan J. Dutta, Department of Communication/Purdue University  
Naveetha Kandula, and Department of Medicine, Fairleigh School of Medicine  
Latha Palaniappan, Department of Health Policy Research/Palo Alto Medical Foundation Research Institute

### Paediatric and Perinatal Epidemiology

Racial/Ethnic Differences in Gestational Diabetes Prevalence and Contribution of Common Risk Factors  
Ja Pu, Beihan Zhao, Elin J. Wang, Vani Nirmal, Sarah Omandson, Lisa Kiang, Rita A. Popat, Sukyoung Chung, Latha P. Palaniappan

### Clinically Identified Postpartum Depression in Asian American Mothers

Deepika Goyal, Elin J. Wang, Jeremy Shen, Eric C. Wang, and Latha P. Palaniappan

### Diabetes Research and Clinical Practice

Type 2 diabetes: Identifying high risk Asian American subgroups in a clinical population  
Elin J. Wang<sup>1</sup>, Eric C. Wang<sup>2</sup>, Anjali A. Dixit<sup>3</sup>, Stephen P. Forman<sup>4</sup>, Randolph B. Linder<sup>5</sup>, Latha P. Palaniappan<sup>1,6</sup>

The association between Asian patient race/ethnicity and lower satisfaction  
Lilian Liao<sup>1,2</sup>, Sukyoung Chung<sup>1,2,3,4,5,6</sup>, Jonathan Altamirano<sup>1</sup>, Luis Garcia<sup>1</sup>, Magali Paul Heidenreich<sup>1</sup>, and Latha Palaniappan<sup>1</sup>

### Clocks Moving at Different Speeds Cultural Variation in the Satisfaction With Wait Time for Outpatient Care

Sukyoung Chung, PhD<sup>1</sup>; Nicole Arora, MPH<sup>1</sup>; Beihan Zhao, MS<sup>1</sup>; Rob Romanelli, PhD<sup>1</sup>; Ja Pu, PhD<sup>1</sup>; Latha P. Palaniappan, MD, MS<sup>1</sup>; and Hal East, PhD<sup>1</sup>





# Role of Data in Diversity Culturally Competent Intervention Studies

Open Access

Research

BMJ Open  
Diabetes  
Research  
& Care

## Engaging South Asian women with type 2 diabetes in a culturally relevant exercise intervention: a randomized controlled trial

Alamelu Natesan,<sup>1</sup> Vani C Nimbai,<sup>2</sup> Susan L Ivey,<sup>1</sup> Elsie J Wang,<sup>3</sup>  
Kristine A Madsen,<sup>1</sup> Latha P Palaniappan<sup>3</sup>

*Asia Pac J Clin Nutr* 2008;17 (4):669-671

669

Short Communication

## Clinical experience with a relatively low carbohydrate, calorie-restricted diet improves insulin sensitivity and associated metabolic abnormalities in overweight, insulin resistant South Asian Indian women

Andrea C Backes BA, Fahim Abbasi MD, Cindy Lamendola RN,  
Tracey L McLaughlin MD, Gerald Reaven MD, Latha P Palaniappan MD



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Contemporary Clinical Trials

journal homepage: [www.elsevier.com/locate/conclintrial](http://www.elsevier.com/locate/conclintrial)



## STRONG-D: Strength training regimen for normal weight diabetics: Rationale and design



Lida Faruqi<sup>a,\*</sup>, Saniya Bonde<sup>a</sup>, Daniella Tatianna Goni<sup>a</sup>, Chi Wai Wong<sup>a</sup>, Myo Wong<sup>a</sup>,  
Khalil Walai<sup>a</sup>, Saron Araya<sup>a</sup>, Sayed Azamey<sup>a</sup>, Gabriella Schreiner<sup>a</sup>, Monica Bandy<sup>a</sup>,  
Sonia Sunita Raghuram<sup>a</sup>, Anuva Mittal<sup>a</sup>, Aishee Mukherji<sup>a</sup>, Tenzin Wangdak<sup>a</sup>, Ruth Talamoa<sup>a</sup>,  
Katherine Vera<sup>a</sup>, Carla Nacif-Coelho<sup>a</sup>, Leah Groppo CDE<sup>c</sup>, Mary Christensen<sup>b</sup>, Neil Johannsen<sup>c</sup>,  
Francois Haddad<sup>d</sup>, Minal Moharir<sup>a</sup>, Latha Palaniappan<sup>a</sup>

# Role of Data in Diversity Pharmacogenetic Differences

## Cardiology

Rosuvastatin Calcium



Warfarin



Clopidogrel (Plavix)



## Oncology

Irinotecan



Tamoxifen



## Infectious Disease and Rheumatology

Atazanavir



Voriconazole



# Role of Data in Diversity Community Impact



## Mission Statement

CARE seeks to improve the health of Asians by increasing knowledge, empowering education and positively impacting their clinical care.

## Promotional Video

<https://vimeo.com/504220633>



## Research

- Heart Risk factors among Asian Imimigrants
- Asian Americans and COVID-19 Deaths
- The disaggregation of Asian American Health Data

## Education

- Courses on minority health
- CARE Scholars Program
- Chi Li Pao Hong Kong University (HKU) Enrichment Year, 2021-2022



# Role of Data in Diversity Contacts

[lathap@stanford.edu](mailto:lathap@stanford.edu)



# Today's speaker



**Nicole Richie, PhD**  
Global Head  
Health Equity and Population Science  
Genentech Roche

# Race/Ethnicity vs Ancestry vs Geographic Origin

**Ancestry** is driven by **genetics**, whereas race and ethnicity are social constructs

## Race

**Self-reported** or physician-reported:

- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or other Pacific Islander
- White

These categories have been historically used in the United States. Hispanic or Latino is sometimes asked together with Race

## Ethnicity

**Self-reported** or physician-reported:

- Hispanic or Latino

Other ethnic groups include cultural (e.g. Romani), religious (e.g. Jews), language, or nationality groups (e.g. Puerto Ricans)

## Ancestry

**Calculated** by genetic information compared to continental-level information:

- AFR (African)
- AMR (Native American, all continent)
- EUR (European)
- EAS (East-Asian)
- SAS (South Asian)

Borderline/fringe cases are difficult to assess (e.g. middle-eastern, admixed populations, Pacific-islanders)

## Geographic Origin

**Belonging** to or characteristic of a **particular region**:

- North Africa
- East Africa
- Central Africa
- West Africa
- Southern Africa
- etc.

Geographic distance may be an excellent predictor of genetic differentiation within a region.

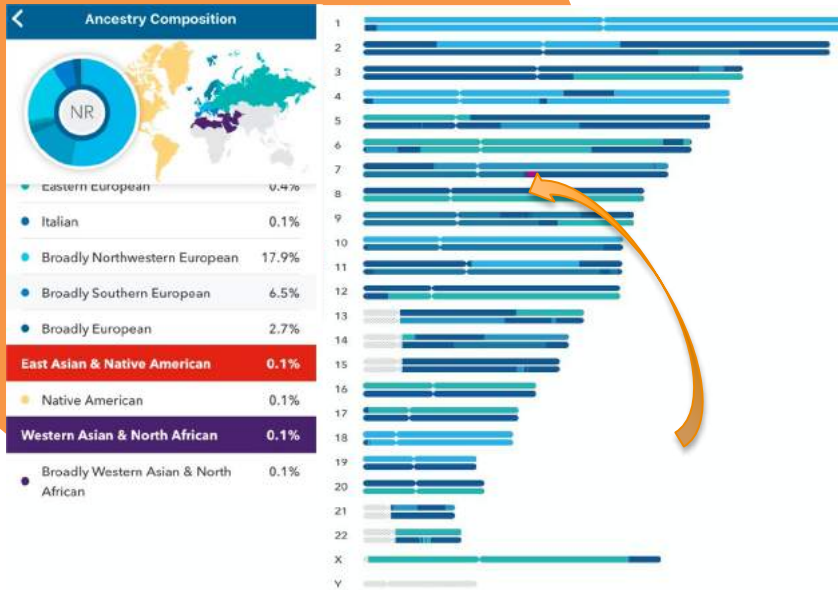
# The Utility of Data Elements

## Ancestry and self reported race/ethnicity

### Ancestry



Genetic Construct

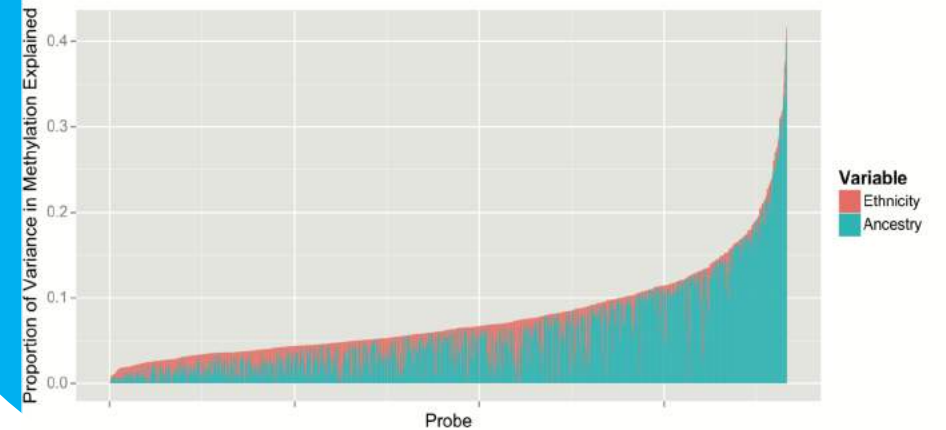


- Ancestry is a way of categorizing genes
- Clinical relevance of ancestry: understanding if variant associated with pathology vs variant associated with ancestry
- Relevance contingent upon understanding biology- requires more diverse genomic data

### Self Reported Race



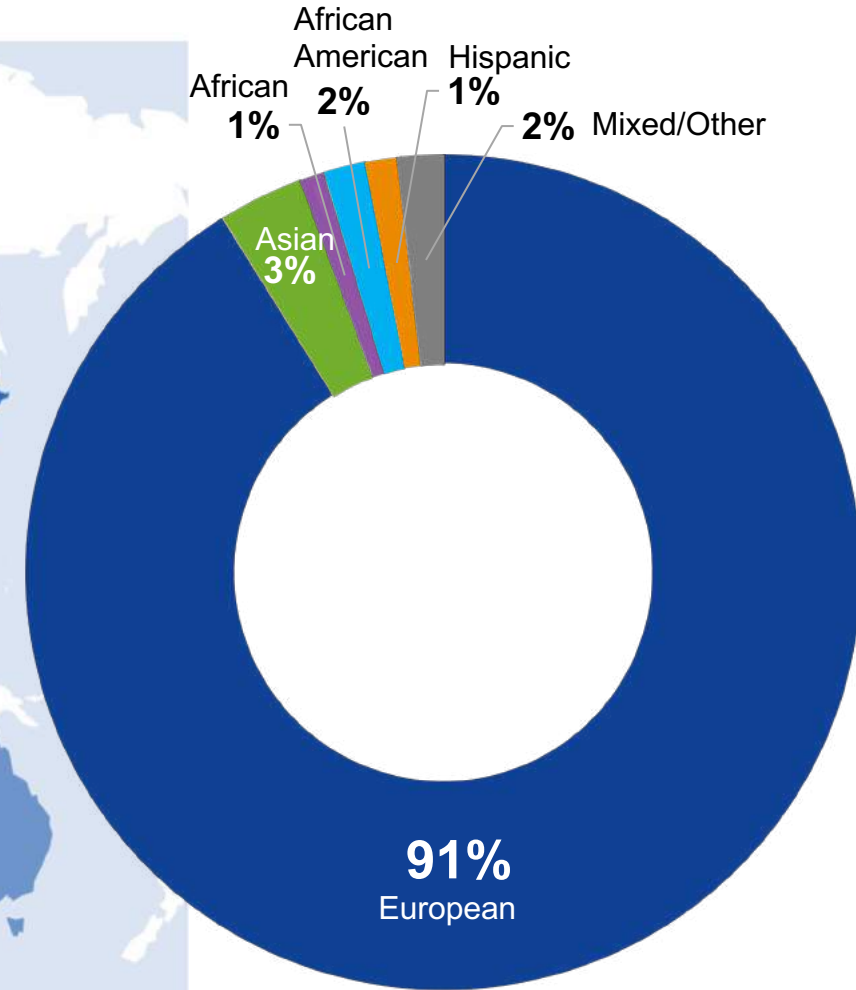
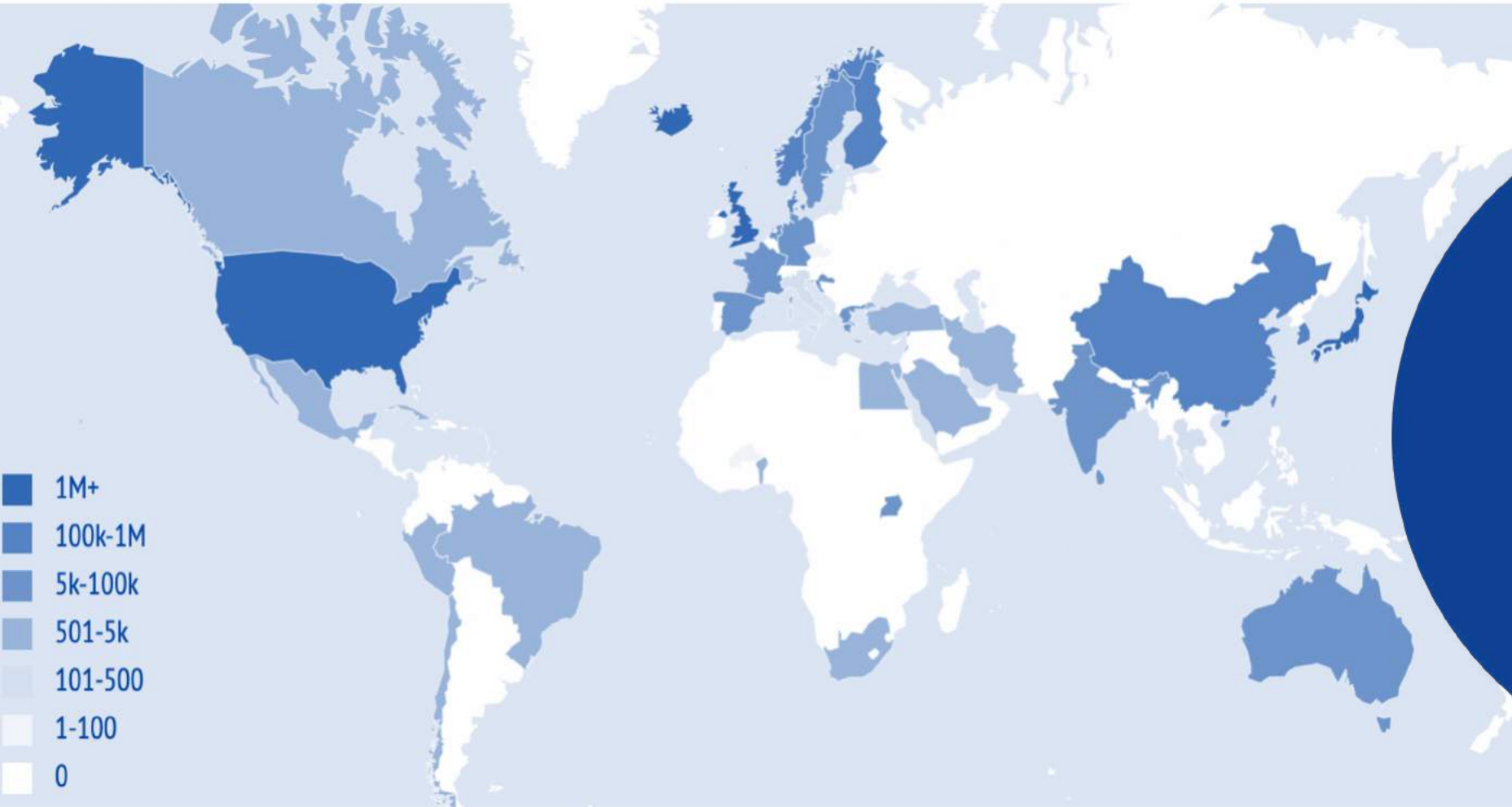
Social Construct



- Self reported race can provide relevant environmental information on risk and response measurable by epigenetics

# Understudied Populations

## *Biased Genetic Discoveries*



Available genomic data and medical research is predominantly based on **European ancestry**, leaving significant amounts of **world wide genotypic and phenotypic variation undiscovered**



# Genomic Based Healthcare is becoming the Norm

*Without conscious effort, existing inequities will be exacerbated over time, contributing to a widening gap in access to innovation.*

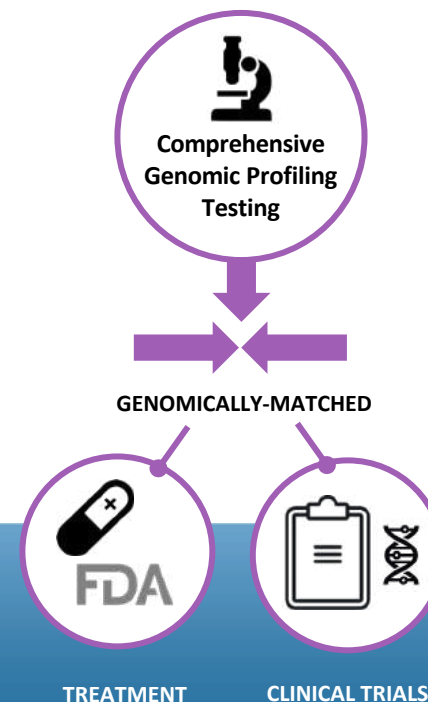
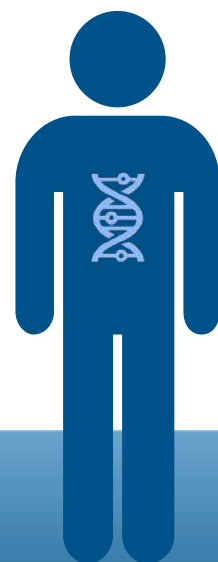
## Molecular Profiling is Guideline Recommended

**Broad molecular profiling to inform genomically-matched therapy is recommended by NCCN Guidelines**



National Comprehensive Cancer Network®

*"NCCN believes that the best management for any patient with cancer is in a clinical trial. **Participation in clinical trials is especially encouraged.**"*



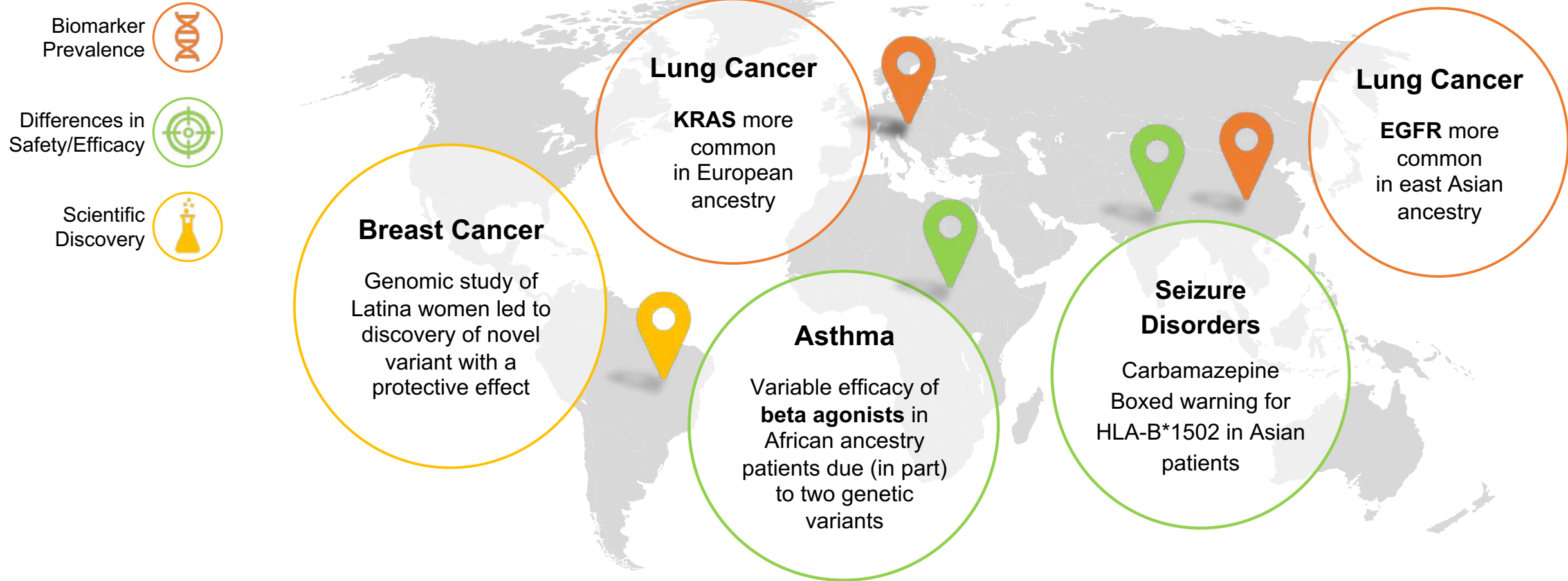
References:

NCCN – National Comprehensive CancerNetwork

1. IQVIA Institute. Global Oncology Trends 2018. <https://www.iqvia.com/-/media/iqvia/pdfs/institute-reports/global-oncology-trends-2018.pdf>. Published May 2018.

# Clinical Importance

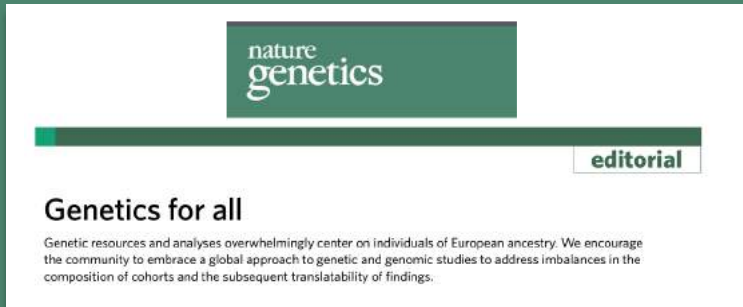
*Disease pattern, clinical presentation, and therapeutic response can vary dramatically by race/ethnicity and ancestral background*



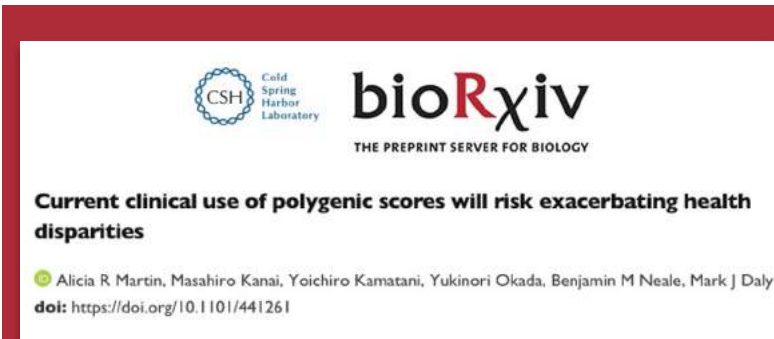
Genomic data helps us understand how patients react and respond to medicines

# Scientific Importance

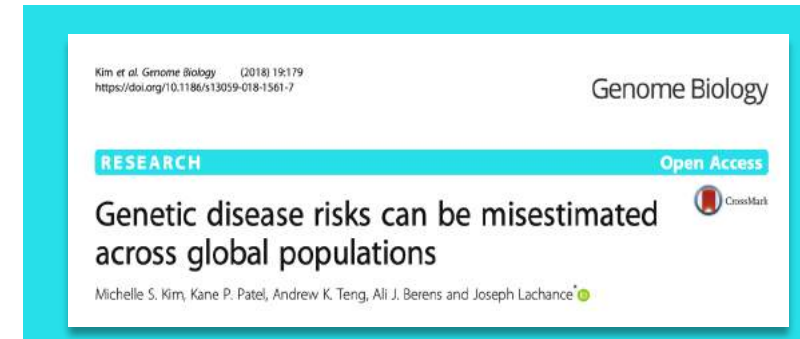
*Rare variants tend to be population specific and disproportionately important in predicting disease risk and drug response*



Robust, powerful genome sequencing enables greater understanding of disease biology, identification of novel targets



Single-ancestry genome-wide association studies (GWAS) limits clinical utility<sup>1,2</sup>

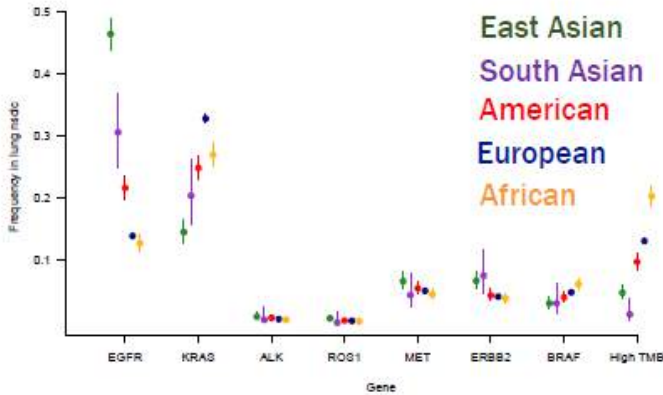


Inferences derived from single-ancestry may be incomplete or inaccurate<sup>3-6</sup>

- Misdiagnosis of pathogenic hypertrophic cardiomyopathy in African Americans
- Population-enriched GWAS identified novel variants (AD, CRC, RA)

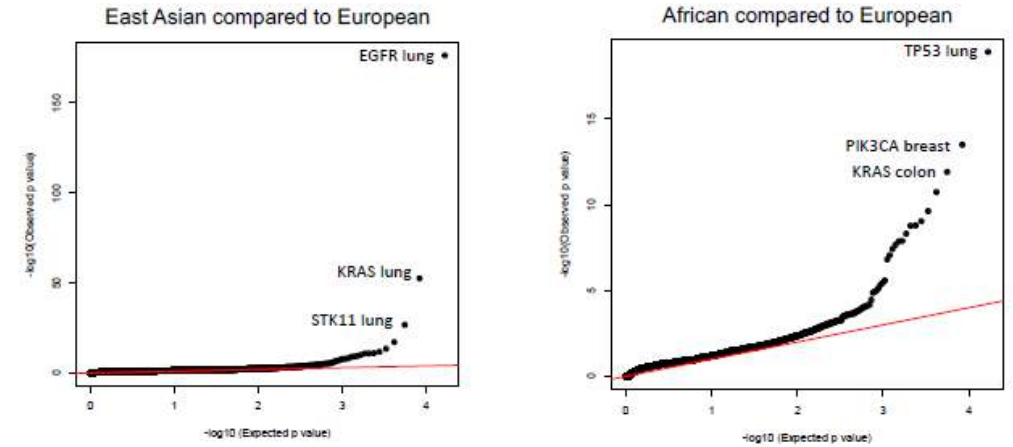
# Prediction of actionable targets can vary based on Ancestry

Frequency of somatic alterations in driver genes in non-small cell lung cancer by SNP-inferred ancestry group <sup>1)</sup>



- Recapitulate known EGFR differences
  - East Asian: alteration rate 0.46 (0.44- 0.49)
  - European: alteration rate 0.14 (0.13-0.14 95% CI)
  - $p < 2.2 \times 10^{-16}$
- Recapitulate known KRAS differences
  - East Asian: 0.14 (0.13-0.16)
  - European: 0.32 (0.32-0.33)
  - $p < 2.2 \times 10^{-16}$

Genes with significantly different alteration frequency between samples of different inferred ancestry groups <sup>1)</sup>



Genes with significantly different alteration frequency between samples of African inferred ancestry compared to European inferred ancestry <sup>1)</sup>

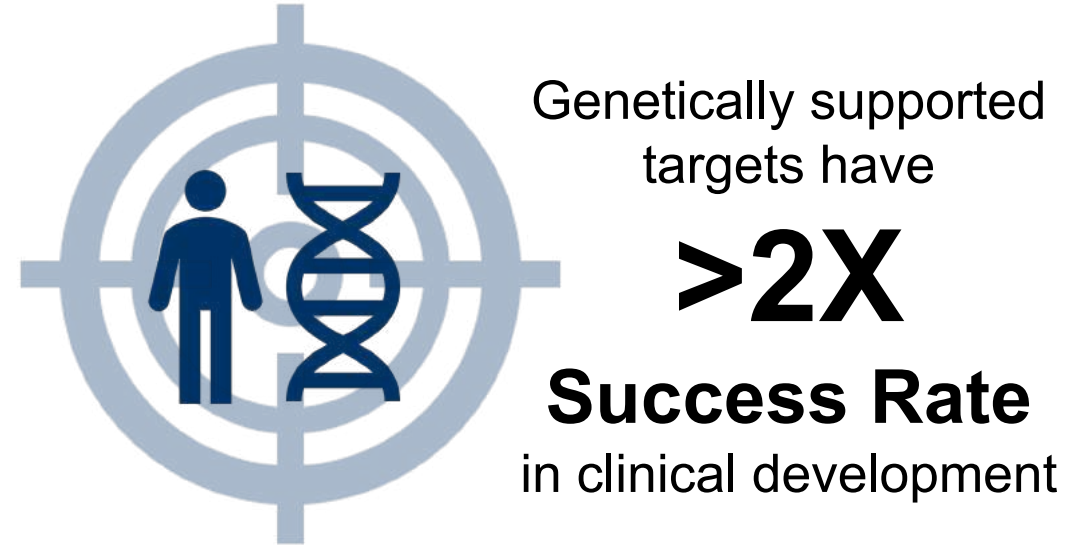
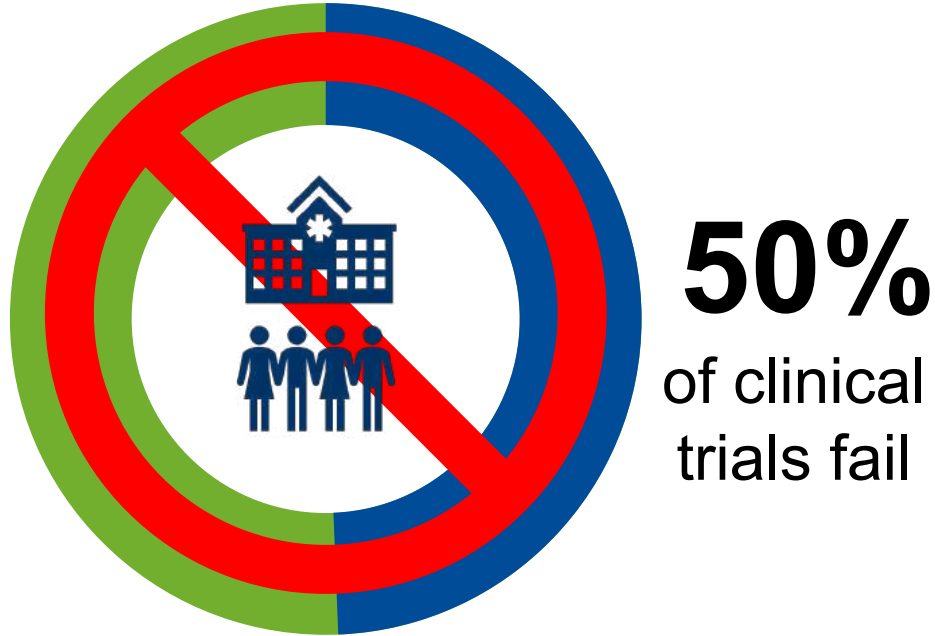
Gene	Disease ontology	P value	African alteration frequency	European alteration frequency	Odds Ratio	Odds Ratio 2.5% CI	Odds Ratio 97.5% CI
TP53	lung adenocarcinoma	$1.23 \times 10^{-19}$	0.684	0.565	0.601	0.536	0.673
PIK3CA	breast carcinoma (nos)	$1.23 \times 10^{-12}$	0.234	0.360	1.834	1.540	2.192
KRAS	colon adenocarcinoma (crc)	$1.82 \times 10^{-11}$	0.596	0.498	0.671	0.596	0.755
TP53	breast invasive ductal carcinoma (idc)	$2.33 \times 10^{-10}$	0.732	0.607	0.566	0.471	0.680
PTEN	uterus endometrial adenocarcinoma (nos)	$9.06 \times 10^{-10}$	0.255	0.512	3.024	2.069	4.491
VHL	kidney renal cell carcinoma	$1.61 \times 10^{-9}$	0.092	0.465	7.433	3.331	19.547
KRAS	lung adenocarcinoma	$1.66 \times 10^{-9}$	0.319	0.398	1.406	1.255	1.576
BRAF	colon adenocarcinoma (crc)	$4.85 \times 10^{-9}$	0.052	0.100	1.990	1.549	2.590
RBM10	lung adenocarcinoma	$1.29 \times 10^{-8}$	0.045	0.085	1.937	1.513	2.514
APC	colon adenocarcinoma (crc)	$1.34 \times 10^{-8}$	0.826	0.757	0.658	0.564	0.764
TP53	breast carcinoma (nos)	$2.18 \times 10^{-8}$	0.623	0.516	0.649	0.555	0.757
PIK3CA	breast invasive ductal carcinoma (idc)	$8.66 \times 10^{-8}$	0.220	0.321	1.668	1.374	2.033
TP53	uterus endometrial adenocarcinoma (nos)	$1.54 \times 10^{-7}$	0.696	0.473	0.397	0.273	0.571

Source: 1) Conelly et al., 2018, AACR abstract □ data sets to be updated based on actual case volume



# Data Inclusive of Worldwide Genetic Variation Increases The Ability to Successfully Develop New Drugs for Patients

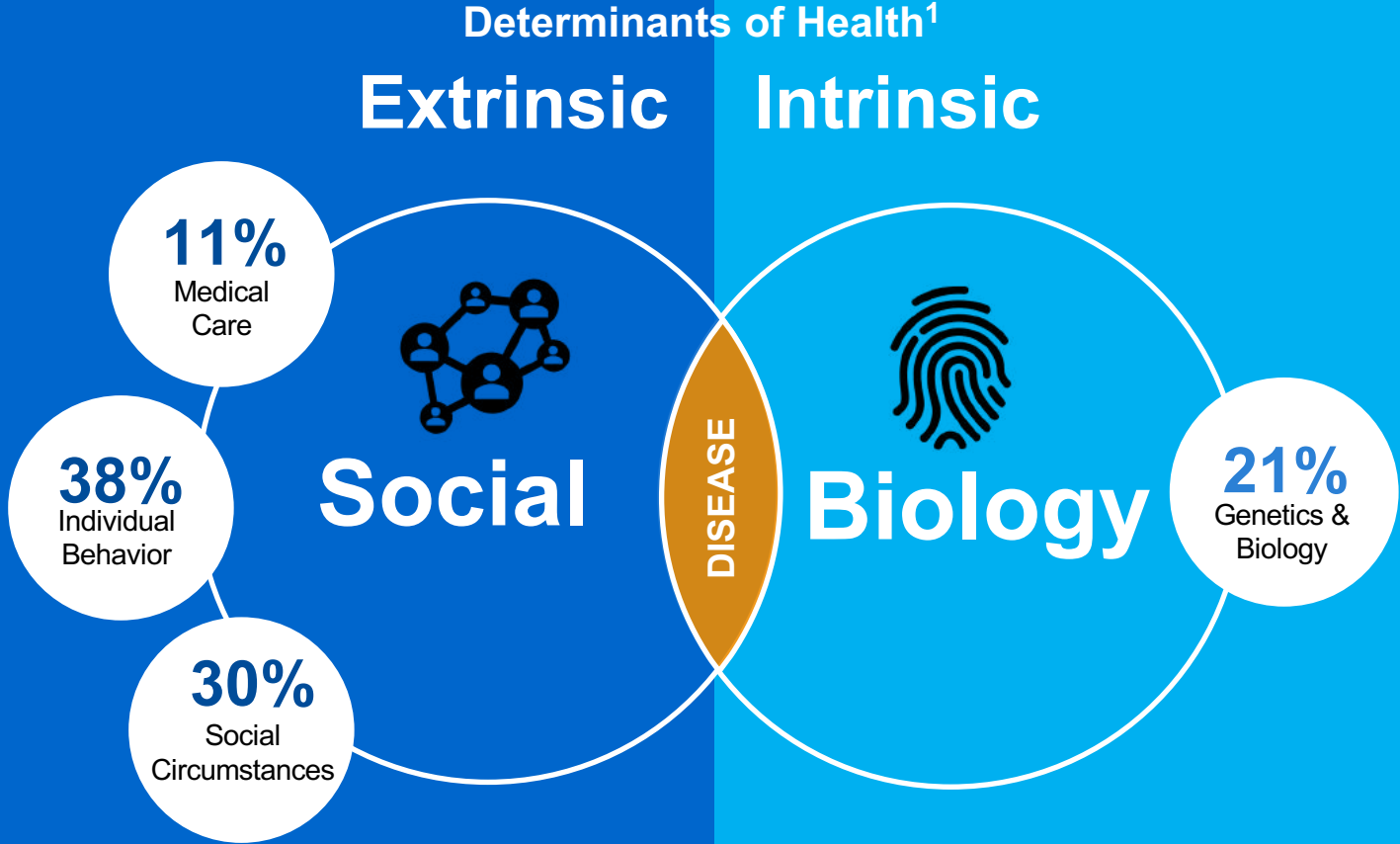
Value of Broadening Population Specific Data in Early Research and Discovery



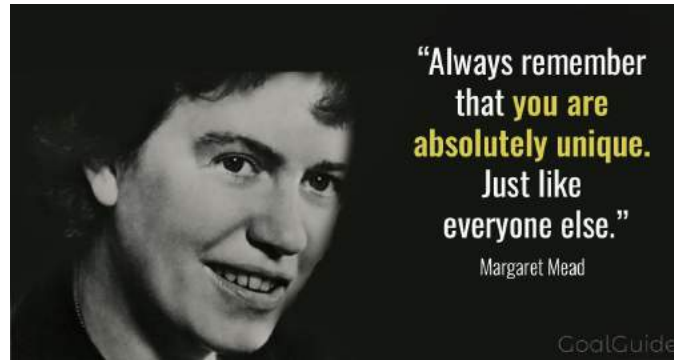
GENOMIC DATA INCREASES CLINICAL RESEARCH SUCCESS RATE

Source: <https://www.nature.com/articles/ng.3314>

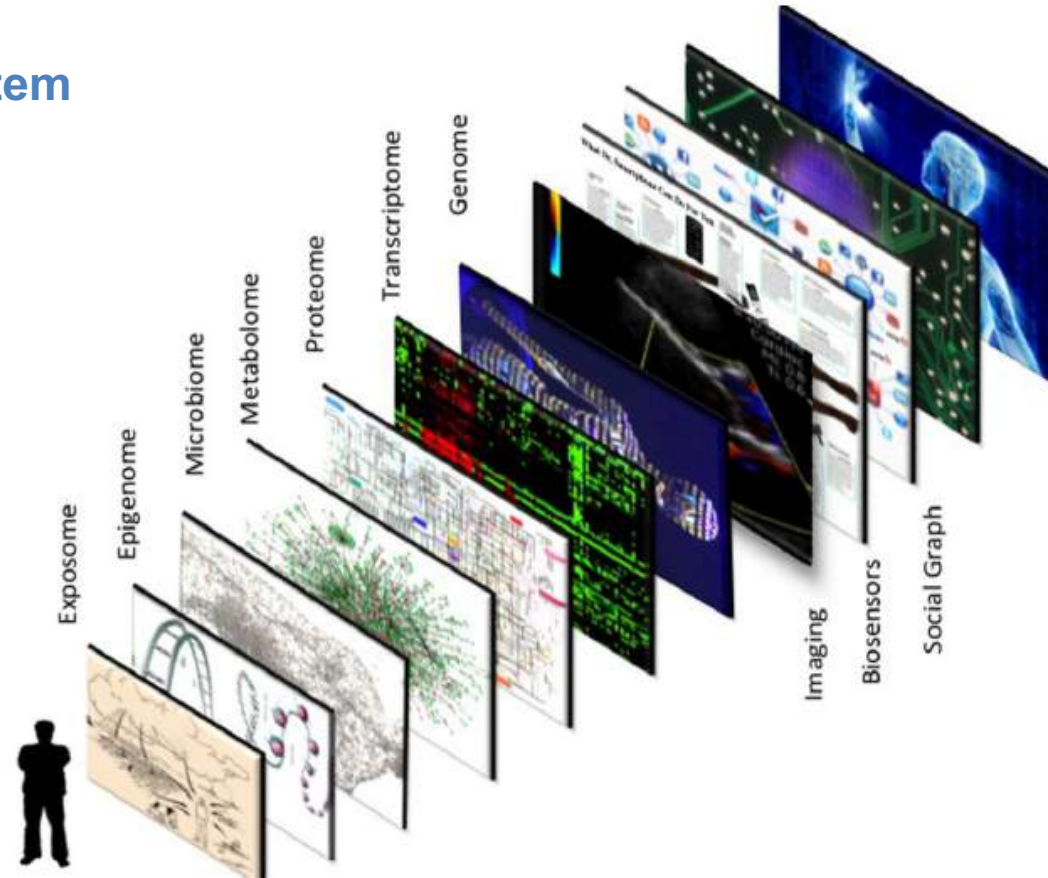
# Ability to Develop Personalized Healthcare Requires Understanding Biologic and Social Determinants



Source  
1) Edwin Choi, Juhan Sonin 2016,  
<http://www.informationisbeautifulawards.com/showcase/1720-determinants-of-health>



## Individualized Human Information System



Adapted from Topol Cell 2014

## Takeaway

*With greater and more refined technology and scientific capabilities there is an unprecedented opportunity to realize the promise of personalized healthcare.*

*Diversity in genomic and clinical data is fundamental to this notion and must be included throughout the full lifecycle of drug discovery, development, and clinical practice*





## MULTI-REGIONAL CLINICAL TRIALS

THE MRCT CENTER of  
BRIGHAM AND WOMEN'S HOSPITAL  
and HARVARD

# Discussion and Questions